



BOD Members Independence and Conflict of Interest Assessment Questionnaire

QNB Group believes that promoting sound governance principles and practices that ensure the sustainability of the Group and achieve the interests of its shareholders starts with the Board of Directors. Accordingly, the "BOD Members Independence and Conflict of Interest Assessment Questionnaire" was prepared in accordance with the applicable regulatory requirements and local laws in the State of Qatar, as well as best international practices issued by the Organization for Economic Co-operation and Development (OECD), the International Finance Corporation (IFC) and the Corporate Governance Institute (ICSA). This assessment aims to ensure that independency requirements are fulfilled adequately by the independent Board members, as well as to identify and appropriately address cases of conflicts of interest, if any.

Date:

Full Name _____ QID No. _____

Nationality _____ Date of Birth

Place of Birth _____

Educational Qualification and Specialty _____ Current Position _____

Other Experiences _____ Current Address in Qatar _____

Permanent Address _____

First: Assessment of BOD Members Independency as per QCB Requirements	Yes	No
Do you own shares in QNB directly or indirectly through a company that you own or have a controlling interest in?	<input type="checkbox"/>	<input type="checkbox"/>
If the answer is "Yes", please provide the following details:	<input type="checkbox"/>	<input type="checkbox"/>
1. Number of shares you own directly in the bank _____		
2. Number of shares you own through your company _____		
Do any of your relatives, up to the first-degree (i.e., father, mother, husband/wife, and children) own shares in QNB directly or indirectly?	<input type="checkbox"/>	<input type="checkbox"/>
If the answer is "Yes", please provide the following details:	<input type="checkbox"/>	<input type="checkbox"/>
3. Number of shares owned by first-degree relatives directly in the bank _____		
4. Number of shares owned by first-degree relatives indirectly _____		
Have you ever been sentenced to prison in any country for committing a crime involving breach of honor or trust?	<input type="checkbox"/>	<input type="checkbox"/>
If the answer is "Yes", please provide details _____		
Have you ever been a major shareholder, board member or executive manager of any company that has had its license revoked or been liquidated by a court order?	<input type="checkbox"/>	<input type="checkbox"/>
If the answer is "Yes", please provide details _____		
Have you ever declared bankruptcy, stopped paying your debts, or arranged to reschedule debt?	<input type="checkbox"/>	<input type="checkbox"/>
If the answer is "Yes", please provide details _____		
Were you nominated for Board membership or performed your duties as a member of the Board of Directors (when elected) under the direction or instructions of any other person or entity?	<input type="checkbox"/>	<input type="checkbox"/>
If the answer is "Yes", please provide details _____		
Have you colluded or coordinated with a natural or legal person(s) to exercise control over QNB?	<input type="checkbox"/>	<input type="checkbox"/>
Have you held an executive position in QNB Group or in any of the Group's subsidiaries within the past three years?	<input type="checkbox"/>	<input type="checkbox"/>
Do you receive any salary or financial from QNB Group other than what a board member receives for his/her membership in the BOD? And/or the dividends he/she receives in his/her capacity as a shareholder, or the interests and profits received, or due on deposits, or investments in the bank's ordinary activities?	<input type="checkbox"/>	<input type="checkbox"/>
Do you or any of your first-degree relatives have any contractual or commercial relationship or direct or indirect interest with the bank that may affect independence and impartiality?	<input type="checkbox"/>	<input type="checkbox"/>
Do you work or hold shares in an organization that provides QNB with advisory, professional, contracting, credit facilities or other services, whether in your personal capacity or through a first-degree relative?	<input type="checkbox"/>	<input type="checkbox"/>
Do you have any relatives up to the first-degree with any members of QNB's Board of Directors or Executive Management?	<input type="checkbox"/>	<input type="checkbox"/>
Do you work as an employee in the bank's external auditor?	<input type="checkbox"/>	<input type="checkbox"/>

Do you have any direct or indirect interest with the external auditor of the bank or any of its affiliated institutions?	<input type="checkbox"/>	<input type="checkbox"/>
Are you experienced and specialized in financial and banking matters?		
Have you ever been a member of any of the bank's board committees whose work is related to executive tasks in the bank, including but not limited to, the Facilities Committee, the Investment and Treasury Committee, or any other executive committees?	<input type="checkbox"/>	<input type="checkbox"/>

Name _____ Signature _____

Second: Other Information	Yes	No
---------------------------	-----	----

Do you represent a natural or legal person in QNB's Board of Directors under an official mandate? If the answer is "Yes", please provide details _____	<input type="checkbox"/>	<input type="checkbox"/>
---	--------------------------	--------------------------

Are you member of the board of directors of any other company or entity? If the answer is "Yes", please provide the following details:	<input type="checkbox"/>	<input type="checkbox"/>
---	--------------------------	--------------------------

Entity Name	Legal Status	Head Office Address	Ownership Percentage
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____
4. _____	_____	_____	_____
5. _____	_____	_____	_____

Do you and your close family members (spouse and children) own more than 5% of the voting power in any company? If the answer is "Yes", please provide the following details:	<input type="checkbox"/>	<input type="checkbox"/>
--	--------------------------	--------------------------

Entity Name	Legal Status	Head Office Address	Ownership Percentage
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____
4. _____	_____	_____	_____
5. _____	_____	_____	_____

Have you managed any other company in the past five years? If the answer is "Yes", please provide the following details:	<input type="checkbox"/>	<input type="checkbox"/>
---	--------------------------	--------------------------

Entity Name	Legal Status	Head Office Address	Nature of Relationship	Management Tenure
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

Do any of the companies listed in the answer you have provided above maintain a business relationship with QNB? If the answer is "Yes", please provide details:	<input type="checkbox"/>	<input type="checkbox"/>
--	--------------------------	--------------------------

Do you have the ability to dedicate the time and effort required to fulfill the responsibilities assigned to a Board member and attend meetings of the Board and its committees on a regular or exceptional basis?	<input type="checkbox"/>	<input type="checkbox"/>
--	--------------------------	--------------------------

Have you ever been dismissed by the Qatar Central Bank or any other supervisory authority?	<input type="checkbox"/>	<input type="checkbox"/>
--	--------------------------	--------------------------

I hereby acknowledge that the above mentioned information is complete and accurate and I undertake to inform Qatar Central Bank as soon as I become aware of any change the information I have provided

Name _____ Signature _____

Third: Assessment of Independence as per QFMA Requirements	Yes	No
--	-----	----

Were you or any of your first-degree relatives, an employee of chartered accountants/ major suppliers of QNB or any of its subsidiaries, or any company controlled by the same entity that controls QNB during the two years preceding the Board elections?	<input type="checkbox"/>	<input type="checkbox"/>
---	--------------------------	--------------------------

Do you or any of your first-degree relatives own a controlling stake in chartered accountants/ major suppliers of QNB or any of its subsidiaries or any affiliated company during the two years preceding the Board elections?	<input type="checkbox"/>	<input type="checkbox"/>
--	--------------------------	--------------------------

Are you or any of your first-degree relatives a senior executive at QNB or any company in its group during the year preceding the Board elections?	<input type="checkbox"/>	<input type="checkbox"/>
--	--------------------------	--------------------------

Are you a first-degree relative of any of the candidates for membership of the Board of Directors of QNB or any member of the Board of Directors of any subsidiary of the bank?	<input type="checkbox"/>	<input type="checkbox"/>
---	--------------------------	--------------------------

Do you have kinship with any senior executives of QNB or any of its affiliates?	<input type="checkbox"/>	<input type="checkbox"/>
---	--------------------------	--------------------------

Do you or any of your first-degree relatives have any material direct or indirect (through controlled companies) business or financial dealings with QNB currently or during the last three years?	<input type="checkbox"/>	<input type="checkbox"/>
--	--------------------------	--------------------------

